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PATENT  
Docket No.: 36287-04401

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s)** : Friedman et al.

**Serial No.** : 10/614,137                   **Group Art Unit** : 3692

**Filed** : July 7, 2003                   **Examiner** : Hatem M. ALI

**For** : ***METHOD AND SYSTEM FOR TRANSFER OF EMPLOYEE STOCK OPTIONS***

**AMENDMENT AND REQUEST FOR RECONSIDERATION**  
**(37 C.F.R. § 1.111)**

**COMMISSIONER FOR PATENTS**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

Sir:

This Amendment and Request for Reconsideration is submitted in response to an outstanding Office Action mailed October 16, 2008.

Amendments to the claims are reflected in the listing of claims, which begin on page 2 of this paper.

Remarks/Arguments begin on page 11 of this paper.

**CERTIFICATE OF MAILING BY EXPRESS MAIL**Docket No.  
36287-04401

**Appl. No.** : 10/614,137  
**Applicant** : Allen R. Friedman et al.  
**Filed** : July 7, 2003  
**TC/A.U.** : 3692  
**Examiner** : Hatem M. ALI  
  
**Docket No.** : 36287-04401  
**Customer No.** : 27171  
**Title** : **METHOD AND SYSTEM FOR TRANSFER OF EMPLOYEE STOCK OPTIONS**

I hereby certify that this :

Transmittal Letter; Amendment and Request for Reconsideration and Return Receipt Postcard

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